

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
ERIC J. BECKMAN et. al.	)
Serial No.: 10/789,900	)
Filed: February 27, 2004	) SYNTHESIS OF
Art Unit: 1621	) N-VINYL FORMAMIDE )
Examiner: Shailendra Kumar	
Attorney Docket No.: 02-027 CIP	)
	Pittsburgh, Pennsylvania 15219 August 26, 2005
Mail Stop AMENDMENT	• •
Commissioner for Patents P.O. Box 1450	
Alexandria, VA 22313-1450	
Sir:	

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I hereby certify that the attached INFORMATION DISCLOSURE STATEMENT and any documents referred to as enclosed therewith are being deposited with the United States Postal Service on this date <u>August 26, 2005</u>, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EL903357215US</u>, addressed to the: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Henry E. Bartony, Jr., Registration No 34, 772

August 26, 2005

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# INFORMATION DISCLOSURE STATEMENT

In compliance with Applicant's duty of disclosure under 37 C.F.R. § 1.56, Applicants submit the attached Forms PTO/SB/08a and PTO/SB/08b listing publications for consideration by the Examiner. Kindly, make these publications of record in the file of the application.

ERIC J. BECKMAN et.al. Serial No.: 10/789,900

# STATEMENT OF RELEVANCY

Applicants believe that the relevance of the publications listed on attached Forms PTO/SB/08a and PTO/SB/08b is apparent from their disclosure. A copy of each non-US patent reference listed on attached Forms PTO/SB/08a and PTO/SB/08b is enclosed with this Information Disclosure Statement.

The Examiner is respectively requested to initial a copy of the attached Forms PTO/SB/08a and PTO/SB/08b to show consideration of the publications, and to return the initialed form to the undersigned Attorney for Applicants.

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, the filing of this Statement is not an admission that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in § 1.56(b).

Applicants believe that no fee is required in connection with this Information Disclosure Statement. However, the United States Patent and Trademark Office is hereby authorized to charge any such fee to Deposit Account No. 02-1065. A duplicate copy of this Information Disclosure Statement is transmitted herewith.

Respectfully submitted,

ERIC J. BECKMAN et. al.

 $By_{\underline{}}$ 

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

(Use as many sheets as necessary)

of 4

 Complete if Known

 Application Number
 10/789,900

 Filing Date
 February 27, 2004

 First Named Inventor
 ERIC J. BECKMAN

 Art Unit
 1713

 Examiner Name
 SHAILENDRA KUMAR

 Attorney Docket Number
 02-027 CIP

Examiner	Cite	Document Number	Publication Date	T DOCUMENTS  Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	A1	<sup>US-</sup> 4,942,259	06-27-1988	PARRIS	
	A2	<sup>US-</sup> 5,059,713	05-24-1990	ARMOR	
	А3	<sup>US-</sup> 5,527,963	10-16-1994	SATO	
	A4	<sup>US-</sup> 4,804,686	05-13-1986	REGNIER	
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		FORE	IGN PATENT DOCL	JMENTS	<u> </u>	
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Te
	B1	FR 2 558 156	07-19-1985	MITSUBISHI CHEMICAL INDUSTRIES LIMITED		
	B2	DE 4116266 A1	03-12-1992	AIR PRODUCTS AND CHEMICAL, INC.		
	В3	DE 4438366 A1	05-02-1996	BASF AG		L
	B4	EU 0361514 A2	04-04-1990	FUJI PHOTO FILM CO., LTD		
	B5	EU 0362804 A2	04-11-1990	FUJI PHOTO FILM CO., LTD		
	В6	EU 0440226 A2	08-07-1991	FUJI PHOTO FILM CO., LTD		

Examiner	Date	
Signature	Conside	red
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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181	FORMATION DI	eci ocupe	Filing Date	February 27, 2004	
			First Named Inventor	ERIC J. BECKMAN	
S	TATEMENT BY A	APPLICANT	Art Unit	1713	
(Use as many sheets as necessary)		Examiner Name	SHAILENDRA KUMAR		
Sheet	2 of	4	Attorney Docket Number	02-027 CIP	

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Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	_	Or Relevant Figures Appear	T <sup>6</sup>
	B7	WO 2004/020395A1**	03-11-2004	UNIVERSITY OF PITTSBURGH	**International Search Report	
		**INTERNATIONAL APPLICATION CORRESPONDING TO U.S. PAT. APPL NO. 10/852,104 OF WHICH THE PRESENT APPLICATION IS A CIP			** international Prefirmery Examination Report and Written Opinion	
	B8	JP 61097309 A	05-15-1986	MITSUBISHI CHEMICAL INDUSTRIES LIMITED	Abstract	
	В9	JP 03319007 B	08-26-2002	MITSUBISHI KASEI CORPORATION	Abstract	
	B10	JP 03182946 B	07-03-2001	MITSUBISHI KASEI CORPORATION	Abstract	
	B11	JP 03314417 B	08-12-2002	MITSUBISHI KASEI CORPORATION	Abstract	

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	Substitute for form 1449/PTO				Application Number	10/789,900		
					Filing Date	February 27, 2004		
			• •	SCLOSURE	First Named Inventor	ERIC J. BECKMAN		
	ST	•		APPLICANT	Art Unit	1713		
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$\overline{}$	Sheet	3	of	4	Attorney Docket Number	02-027 CIP		

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		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> ( <i>if known</i> )				T°
	B12	JP 62059248	03-14-1987	MITSUBISHI CHEM IND LTD.	Abstract	
	B13	EP 0 184 074	11-21-1985	BASF AKTIENGESELLSCHAFT		
	B14	DE 3500773	07-25-1985	MITSUBISHI CHEM IND LTD.		
	B15	JP 63190862	08-08-1988	MITSUBISHI CHEM IND		
	B16	PCT/US2005/005840 ***			INTERNATIONAL SEARCH REPORT AND WRITTEN OPINION	
		*** INTERNATIONAL PATENT APPLICATION CORRESPONDING TO PRESENT US PATENT APPLICATION	02-24-2005	UNIVERSITY OF PITTSBURGH		

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	PARRIS, G.E. and ARMOR, J.N.; Catalytic Cracking of Organic Amides. II Use of Substituted Amides, Mechanism, and a Predictive Index for Reactivity. Applied Catalysis, VOI. 78, pp 65-78 (1991)	
	C2	CHANG, YIHUA et. al. Water-Soluble Copolymers. 47. Copolymerization of Maleic Anhydride and N-Vinylformamide; Macromolecules; (1993), 26; pp 4814-4817.	
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Examiner	Date	
Signature	Considered	

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